



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER.	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/808,418	03/14/2001	Andrew L. Cote SR.	1600/136

## CONFIRMATION NO. 4088

02101  
BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618

## FORMALITIES LETTER



\*OC000000005986720\*

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/26/2001 HTECKLU1 00000027 09808418

01 FC:101	710.00 OP
02 FC:105	130.00 OP



Practitioner's Docket No. 1600/136

✓ Sector \$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cote et al.  
Application No.: 09/808,418  
Filed: March 14, 2001  
For: Swabbable Luer-Activated Valve

Group No.: 3763  
Examiner:

Box Missing Part  
Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 19, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

[x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date: July 19, 2001

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_\_.

  
Signature

Steven G. Saunders  
(type or print name of person certifying)

5/23/01 17:42:11 00000027 09808418 (Completion of Filing Requirements--Nonprovisional Application--page 1 of 3)

110.00 GP

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declaration is attached.

### **COMPLETION FEES**

#### **III.**

##### **1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$710.00
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##### **2. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$130.00
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3. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$880.00
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### **EXTENSION OF TIME**

**IV.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

Fee	\$110.00
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If an additional extension of time is required, please consider this a petition therefor.

### **TOTAL FEE DUE**

**V.** The total fee due is:

Completion fees	\$880.00
Extension fee (if any)	\$110.00
Total Fee Due	\$990.00

### **PAYMENT OF FEES**

**VI.** Enclosed is a check in the amount of \$990.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**VII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
- 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
- 37 C.F.R. Section 1.17 (application processing fees)

Date: July 19, 2001



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02101

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